



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Gerhard Heise
Wolfgang Schweiker
Frank Zimmer

Examiner: TBA

Serial No: 10/031,917

Group Art Unit: TBA

Filed: 1/19/02

For: OPTICAL COUPLING DEVICE

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.56, 1.97 – 1.98**

Asst. Commissioner of Patents and Trademarks
Washington, DC 20231

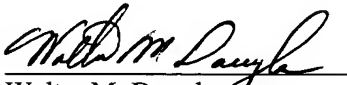
Dear Sir:

The Examiner's attention is hereby directed to the following reference(s) listed on the attached Form PTO-1449 for consideration in connection with the examination of the above-identified patent application. One copy of the reference(s) is enclosed.

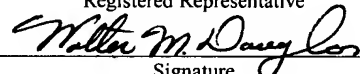
This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the enclosed documents constitute "prior art." If it should be determined that any of the submitted documents do not constitute "prior art" under United States law, applicant(s) reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant(s) further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the enclosed references, should one or more of the references be applied against the claims of the present application.

Respectfully submitted,


Walter M. Douglas
Registration No. 34,510
Corning Incorporated
SP-TI-03-1
Corning, NY 14831
(607) 974-2431

Date: April 10, 2002

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Asst. Commissioner of Patents and Trademarks, Washington, D.C. 20231	
on <u>April 10, 2002</u>	Date of Deposit
<u>Walter M. Douglas</u> Name of applicant, assignee, or Registered Representative	
 Signature	
<u>April 10, 2002</u> Date of Signature	



FORM PTO-1449 (MODIFIED)

ATTORNEY DOCKET NO.

SERIAL NO.

LIST OF PATENTS AND
PUBLICATIONS
FOR APPLICANTS INFORMATION
DISCLOSURE STATEMENT

SI01-011

10/031,917

APPLICANT Heise et al.

FILING DATE 1/19/02

GROUP: TBA

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Sub- Class	Filing Date if Approp.
	AA	4,212,513	7/15/80	Gravel			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Sub- Class	Translation Yes No
	AL	WO 98/13718	4/2/98	PCT			
	AM	JP 59-24804	2/8/84	Japan (w/ Abstract)			
	AN	DE3716836A1	12/1/88	Germany			
	AO	DE19713630A1	10/8/98	Germany			
	AP	DE19635023A1	3/6/97	Germany			
	AQ	DE4109195A1	9/24/92	Germany			

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	
	AS	
	AT	
	AU	
	AV	
	AW	

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.